



“Collaborative Care” für Depression

bei Patienten mit Herzerkrankungen

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>Ein Jahrzehnt “Collaborative Care”

1999: Improving Quality of Primary Care for Anxiety Disorders (NIMH R01 MH59395)

2000: Improving Depression Treatment in Primary Care (RWJF)

2003: Treatment of Depression Following Cardiac Bypass Surgery (NHLBI R01 HL70000)

2004: Improving Quality of Primary Care for Anxiety Disorders (NIMH R01 MH59395R)

2007: Developing a Collaborative Care Strategy for Depression and Co-Morbid CHF (NIMH R34 MH078030)

2012: Online Treatments for Mood and Anxiety Disorders (NIMH R01 MH093501)

2013: Blended Collaborative Care for Heart Failure and Co-Morbid Depression (NHLBI R01 HL114016)

5 R01s, 1 R34, RWJ



Koronare Herzerkrankungen Europa



KHK ist Ursache für:

- 4 m Todesfälle (1.9m EU)
- 47% aller Todesfälle
- Hauptgrund für Frauen

Kosten:

- € 196m p.a. Total
- 54% Krankenkosten

KHK Risikofaktoren

- Alter
- Männlich
- **Diabetes**
- Hypertension
- Hyperlipidemia
- **Raucher**
- Familiäre Vorbelastung
- **Bewegungsarmer Lebensstil**

Depression und KHK



Studien zur konventionellen Depressionsbehandlung

M-HART (MI)

Frasure-Smith N. *Lancet* 1997; 350:473

SADHART (MI)

Glassman AH, et al. *JAMA* 2002; 288:701
0.14 (-0.06-0.35), Sertraline vs. Placebo

ENRICHD (MI)

Berkman LF, et al. *JAMA* 2003; 289:3106
0.22 (0.11-0.33), CBT/Sertraline vs. UC

CREATE (CAD)

Lesperance F, et al. *JAMA* 2007; 297:367
0.33 (0.10-0.56), Citalopram vs. Placebo
-0.23 (-0.46-0.00), IPT vs. Clinical Management

Limitationen der Studien konventioneller Depressionsbehandlung

- Nur ein Antidepressivum
- Keine Berücksichtigung der Patientenwünsche
- Mangelnde Compliance
- Keine Einbeziehung der Hausärzte
- Keine Generalisierbarkeit der Intervention
- Kurze Nachbehandlungszeit
- Wenige koronare Events
- Kleine Studiengröße



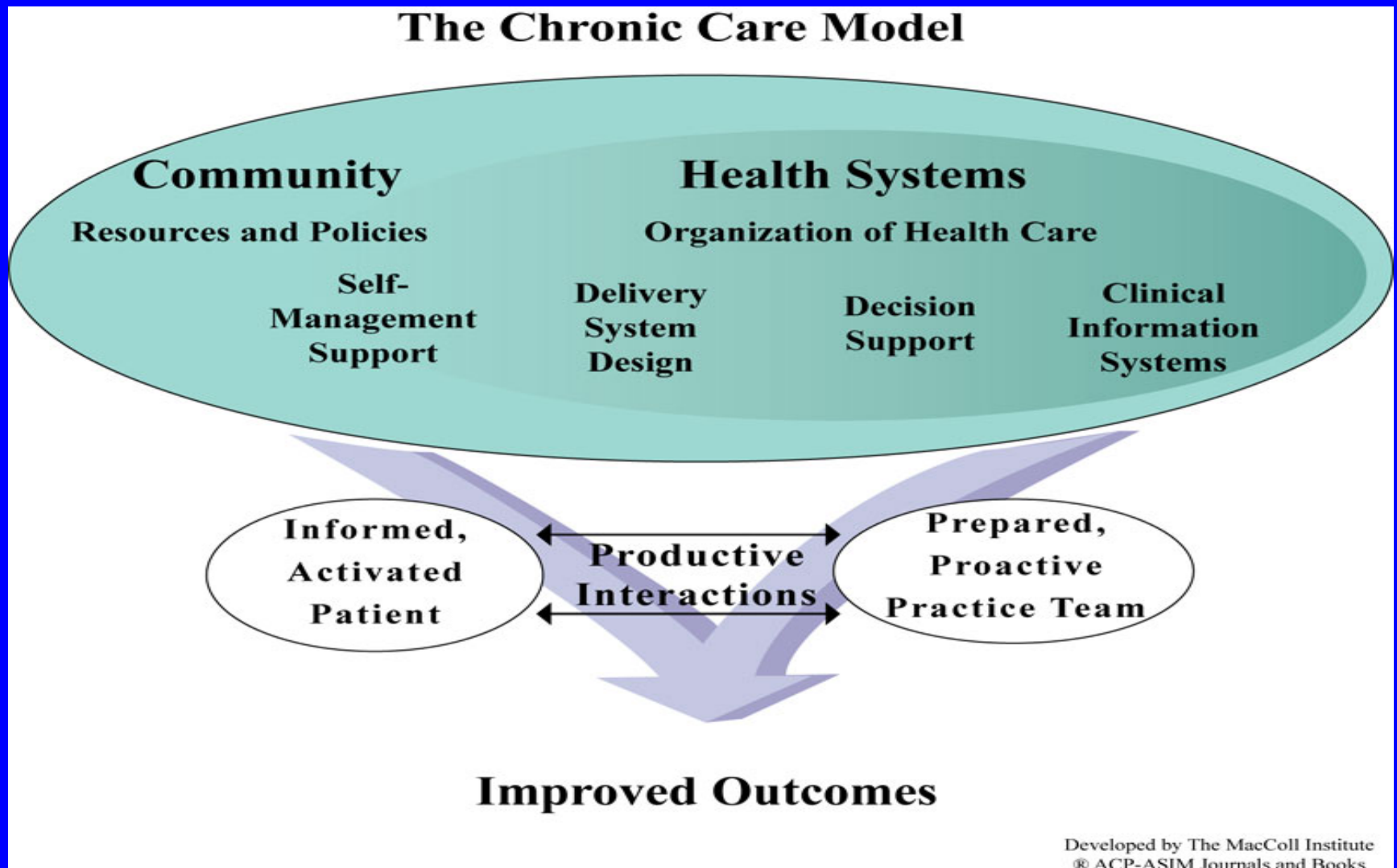
The Bypassing the Blues Trial: **Telephone-Delivered Collaborative Care for Treating Post-CABG Depression**

BL Rollman, B Herbeck Belnap,
PR Houck, S Mazumdar, PJ Counihan,
HC Schulberg, WN Kapoor, CF Reynolds III

University of Pittsburgh School of Medicine

All work supported by NHLBI R01 HL70000

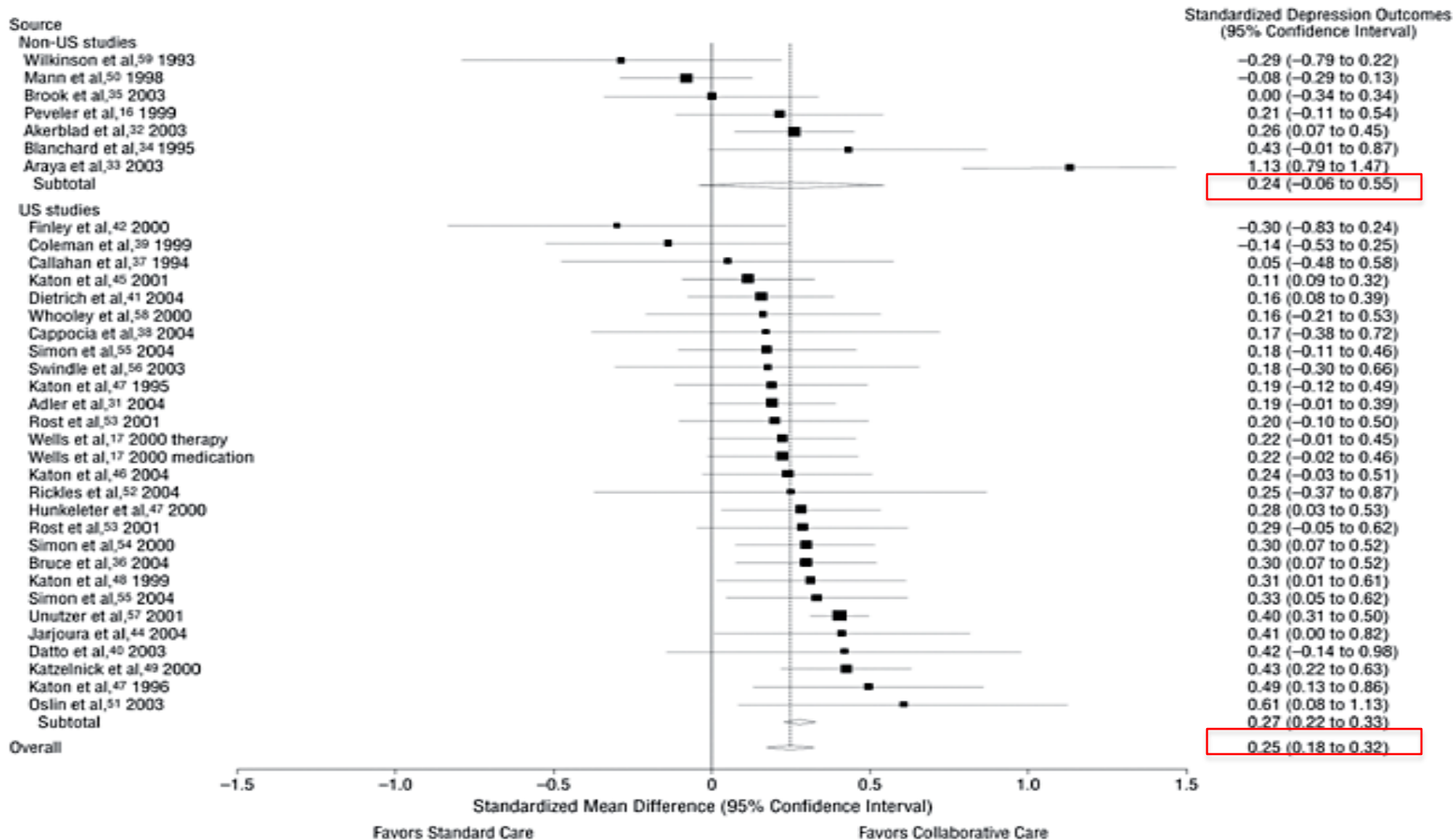
WAGNER CHRONIC CARE MODEL



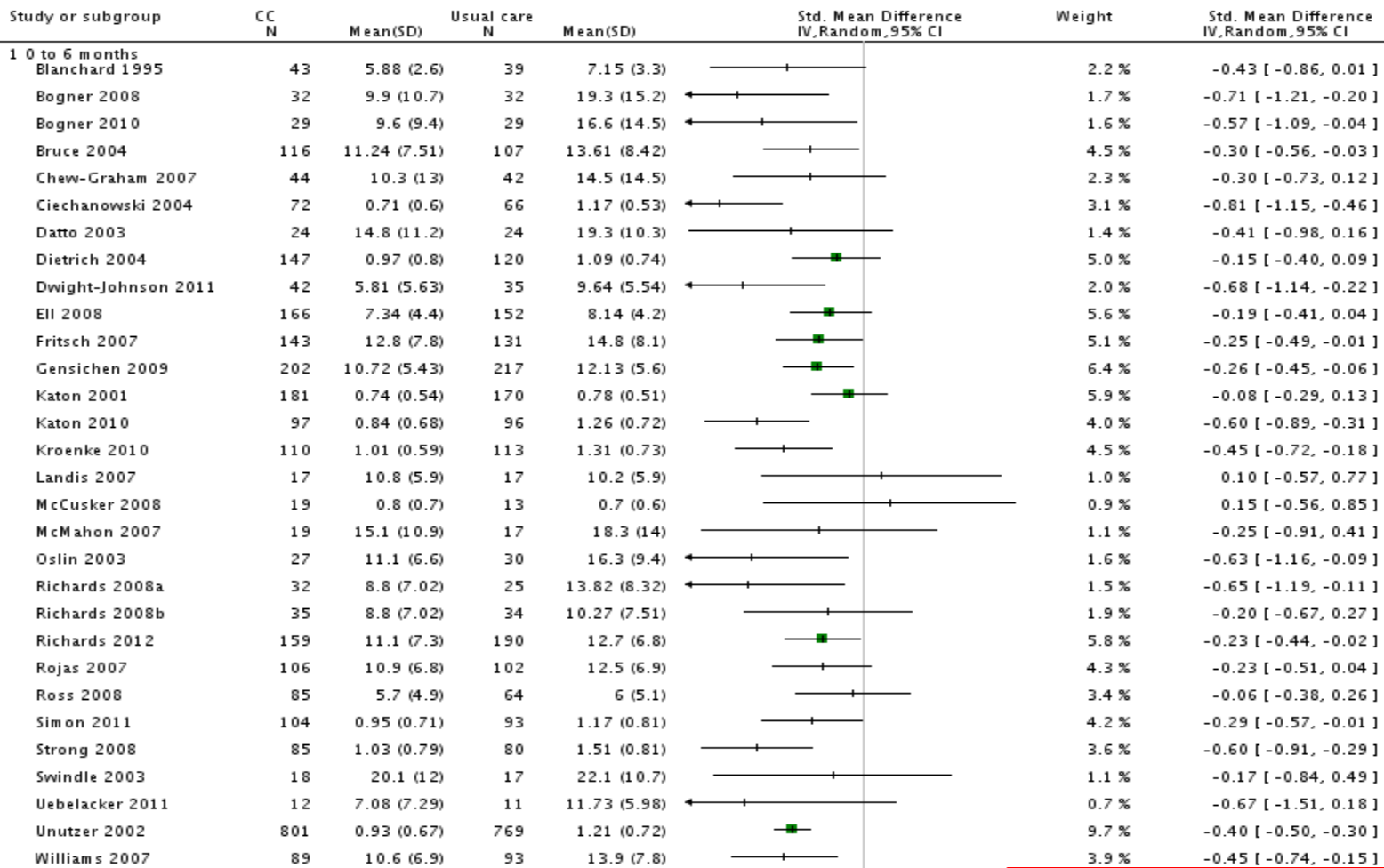
Collaborative Care - Kooperative Versorgung

- Ganzheitliche Perspektive
- Evidenzbasierte Medizin
- Nähe zum Hausarzt
- Teamversorgung
- Berücksichtigung von Patientenwünschen
- Flexibler, **proaktiver** Behandlungsplan
- Einsatz von Informationstechnik

Meta-Analysis of Collaborative Care on 6-Month Depression Outcomes



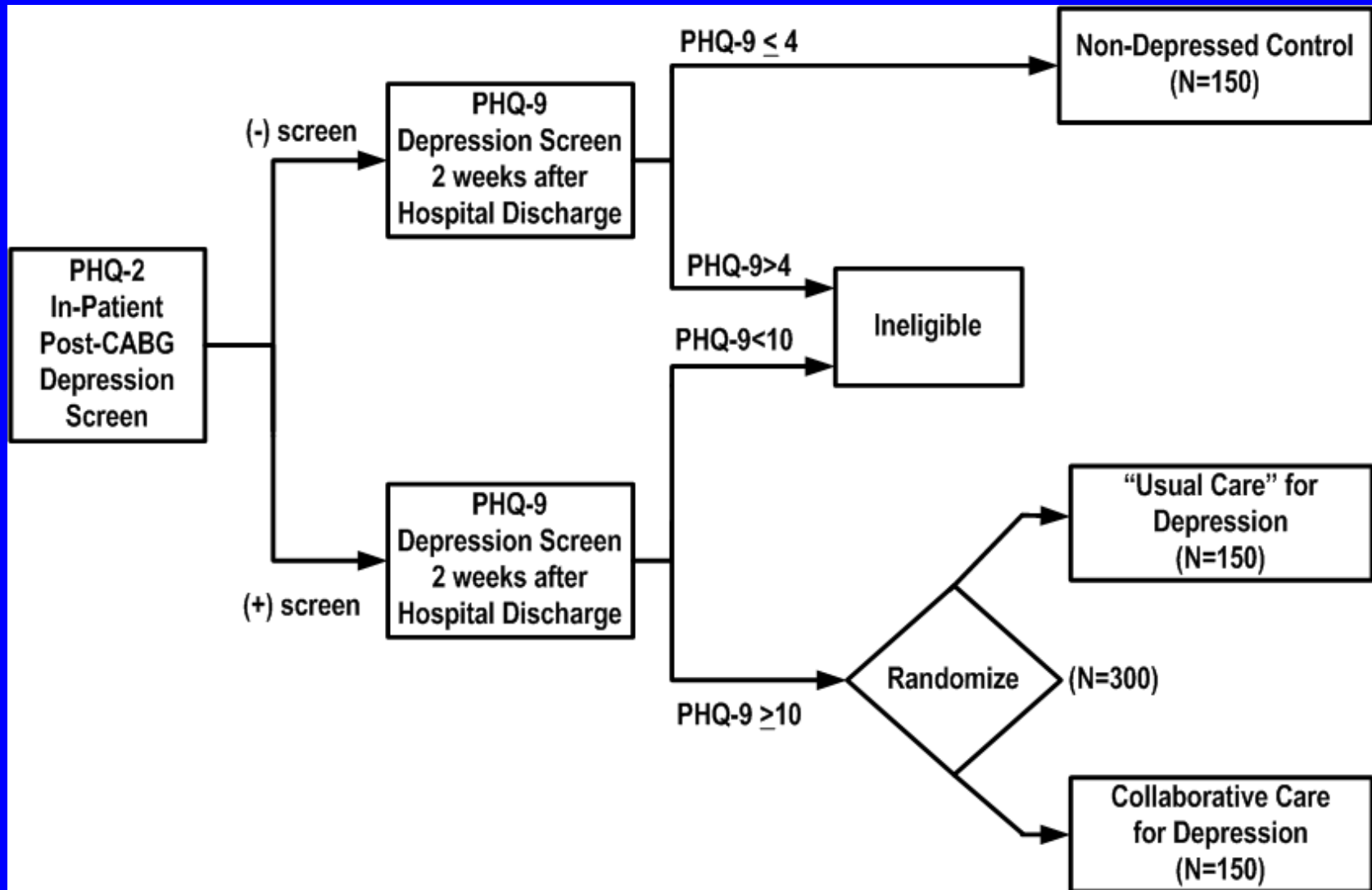
Review: Collaborative care for depression and anxiety problems
 Comparison: 1 Collaborative care versus 'usual care' (adults)
 Outcome: 1 Improvement in depression symptoms



Heterogeneity: Tau² = 0.01; Chi² = 43.63, df = 29 (P = 0.04); I² = 34%
 Test for overall effect: Z = 9.25 (P < 0.00001)



Bypassing the Blues



7 Pittsburgh- Area Hospitals

Jefferson Regional

Mercy Hospital

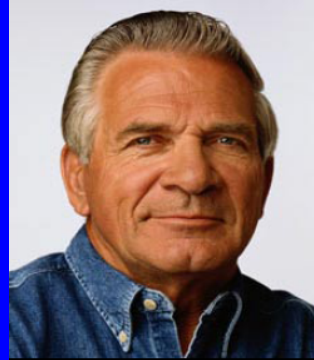
UPMC-Passavant

UPMC-Presbyterian

UPMC-Shadyside

Westmoreland

West Penn Hospital



On the Road to Recovery from Bypass Surgery?

Ask About:



Bypassing the Blues

*A Study to Improve the Quality of Life
Following Cardiac Bypass Surgery*

Bypassing the Blues is a National Heart, Lung, and Blood Institute-funded research study.

It's a cooperative effort by doctors, nurses, and other healthcare professionals from several Pittsburgh-area hospitals who are interested in helping cardiac bypass patients live life to its fullest.

If you are eligible to participate in *Bypassing the Blues*, you may begin a program specifically designed to help patients like you avoid or overcome depression following surgery. This program may include telephone counseling, guided use of a workbook or video, medication prescribed by your primary care physician, specialty referral, or a combination of these depending upon your treatment preferences.

Patients still hospitalized following bypass surgery may be eligible to participate. For more information:

Call **412-692-2659** or ask your nurse or doctor about the *Bypassing the Blues* study.

PHQ-9



INTERVENTION - ACUTE

Site ID

Data Collector ID

Subject ID

Completed Date

Opening PHQ-9 Meds Med Adherence Referral Stressors Workbook Treatment Adherence Plan Closing

II. Objective

1. PATIENT HEALTH QUESTIONNAIRE - 9

Over the last 2 weeks, how often have you been bothered by any of the following problems?

	Not at all	Several days	More than half the days	Nearly every day
A. Little interest or pleasure in doing things.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
B. Feeling down, depressed, or hopeless.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
C. Trouble falling or staying asleep, or sleeping too much.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
D. Feeling tired or having little energy.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E. Poor appetite or overeating.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
F. Feeling bad about yourself – or that you are a failure or have let yourself or your family down.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
G. Trouble concentrating on things, such as reading the newspaper or watching television.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
H. Moving or speaking so slowly that other people could have noticed? Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
I. Thoughts that you would be better off dead or of hurting yourself in some way.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

PHQ Score

Calculate
PHQ Score

Go to Meds



Screening Summary

3/04-9/07

Approached Post-CABG	3,057
PHQ-2 Completed	2,485 (81%)
PHQ-2 (+) Screen	1,387 (56%)
Protocol-Elig./Consented	1,268 (91%)
PHQ-9 Completed (2-wk f/u)	1,100 (87%)
PHQ-9 \geq 10	337 (31%)
Randomized	302 (90%)



Sociodemographics

	Depressed N=302	Non-Dep N=151	P
Age (SD)	64 (11)	66 (10)	.03
Male	59%	63%	.38
Caucasian	91%	81%	.01
Hypertension	84%	81%	.43
Diabetes	42%	39%	.50
CHF	20%	21%	.38



Bypassing the Blues

Telephone-delivered Collaborative Care

Care manager rief regelmässig an:

- 8 Monate lang
- Informationen zur Depression bei KHK
- Abklärung der Patientenwünsche
- Überwachung der Symptome
- Coaching im Umgang mit Depression (Arbeitsbuch)
- Unterstützung bei der Pharmakotherapie
- Hilfe bei Überweisung zum Psychiater/Therapeut
- ☑ **Regelmässige Berichte und ggf. Empfehlungen an Hausarzt**



Pharmacotherapy

- SSRI (first-line), then SNRI or bupropion
- Promote effective dose and duration
- Reduce benzodiazepine use
- Avoid TCAs
- Adjust in response to symptoms (PHQ-9)
- Monitor side effects

“Case Review”

Wann und wer?

- Wöchentlich
- *Care manager*
- *Clinical Team* (Internist, Psychiater, Psychologe)

Was?

Konzentrierung auf neue & hoch-symptom. Patienten

Discussion der Behandlungsempfehlungen:

- Self-management Materialien
- Medikamenteneinstellung
- Verhaltensveränderungen
- Lebensstiländerungen (Diät, Bewegung, etc.)
- Überweisung zum Psychiater/Therapeuten



INTERVENTION CASE REVIEW

CABG ID#

First Name

CONFIDENTIAL

- Subject Select
- Contact History
- Mental Health History
- Medications
- Treatment 1
- Treatment 2
- PHQ Scores
- PHQ Items 1-6
- PHQ Items 7-9

Select Assessor

First Name	Last Name
Erin	
Lillian	
Carol	
Susan	
Sharon	

Select Participant

CABG ID	First Name	Recruitment Date	Last Contact	Last PHQ Score
151199	Ronald	4/27/2007 12:21:54 PM	5/17/2007	11
211117	Edward	4/26/2007 10:58:50 AM	5/22/2007	10
351186	Elsie	4/17/2007 10:16:33 AM	5/17/2007	3
211095	John Wade	4/11/2007 9:41:13 AM	5/22/2007	6
151173	Thomas	4/6/2007 12:01:08 PM	5/21/2007	13
211084	Helen	4/2/2007 1:33:28 PM	5/8/2007	4
84645	Rose M	3/27/2007 11:40:49 AM	4/26/2007	15
211069	Frank	3/21/2007 9:31:13 AM	5/15/2007	3
211066	Lewis	3/16/2007 1:42:18 PM	5/15/2007	4
84621	MaryAnn	3/2/2007 3:07:25 PM	5/11/2007	10
211040	Thomas	2/28/2007 10:34:09 AM	5/14/2007	1
31980	Henry	1/23/2007 10:03:43 AM	5/10/2007	1
21975	Harvey	1/22/2007 11:29:16 AM	5/8/2007	11
21938	Charles	12/18/2006 11:06:28 AM	5/17/2007	7
73995	Carole	12/16/2006 1:27:48 PM	5/14/2007	12
84540	Michael	12/4/2006 5:03:02 PM	5/22/2007	0
23986	Eugene	11/22/2006 10:02:46 AM	2/2/2007	18
151017	Henry	11/15/2006 2:11:45 PM	3/20/2007	0
151014	Morris	11/13/2006 11:47:17 AM	5/22/2007	4
31879	Charles	11/13/2006 11:14:57 AM	5/23/2007	11
21874	Meyer	11/10/2006 1:44:20 PM	4/17/2007	7
21872	Raymond	11/10/2006 10:27:55 AM	4/26/2007	0
31857	James	11/1/2006 1:29:28 PM	5/1/2007	1
15991	Morgan	10/30/2006 12:04:41 PM	5/7/2007	3
94495	Janice	10/25/2006 10:31:15 AM	5/4/2007	13
84491	Carolyn	10/23/2006 12:43:03 PM	5/22/2007	14
73979	Andrew	10/22/2006 12:58:46 PM	5/22/2007	2

Close and Return to the Main Menu



INTERVENTION CASE REVIEW

CABG ID# 65456

First Name

CONFIDENTIAL

Subject Select Contact History Mental Health History Medications Treatment 1 Treatment 2 PHQ Scores PHQ Items 1-6 PHQ Items 7-9

GENDER	AGE	SOCIAL SUPPORT	HISTORY SA	RECRUIT DATE
Male	72	Very Good	N/A	9/6/2005

NEXT SCHEDULED APPT 12/12/2006

CONTACT DATE	PHQ	Med 1 Name	Med 1 Dose	Med 2 Name	Med 2 Dose	WORKBOOK CHAPTER	MHS REFERRAL	GOAL
10/19/2006	0	Celexa	40mg	N/A	N/A		No	1.Continue w/medication 2. Consider swi
9/19/2006	0	Celexa	40mg	N/A	N/A		No	1.Continue w/medication 2. Consider swi
9/12/2006	0	Celexa	40mg	N/A	N/A		No	1.Continue Citalpram 40mg qhs 2. Conti
7/18/2006	1	Celexa	40mg	N/A	N/A		No	1.Check BS 1-2x aday 2.Getting down to w
6/27/2006	1	Celexa	30mg	N/A	N/A		No	1.reread chap 1 in WB for sx of depressi
6/20/2006	3	Celexa	30mg qhs	N/A	N/A		No	1.clean out workshop within 3-4 days 2.
1/24/2006	1	Lexapro	5mg	N/A	N/A		No	Incr. Lexapro to 10mg but take in am, in
12/20/2005	3	Lexapro	10mg	N/A	N/A		No	Refresh self w/chap 23-24.
11/22/2005	4	Lexapro	5mg	N/A	N/A	11, 13&14	No	Call to get new rx for 10mg of Lexapro,
10/27/2005	4	Lexapro	5mg	N/A	N/A	5 & 10	No	WB chapt 11, 13&14. Continue 5mg of Lex
10/20/2005	9	Lexapro	5mg hs	N/A	N/A		No	WB, Cont medication.
10/13/2005	11	Lexapro	5mg	N/A	N/A		No	WB, call endocrine MD if SOB continues/w
10/6/2005	13	N/A	N/A	N/A	N/A	1-3	No	WB, start medication.
9/28/2005	N/A	N/A	N/A	N/A	N/A		N/A	WB CHAP 1-3, decrease naps before 2pm fo

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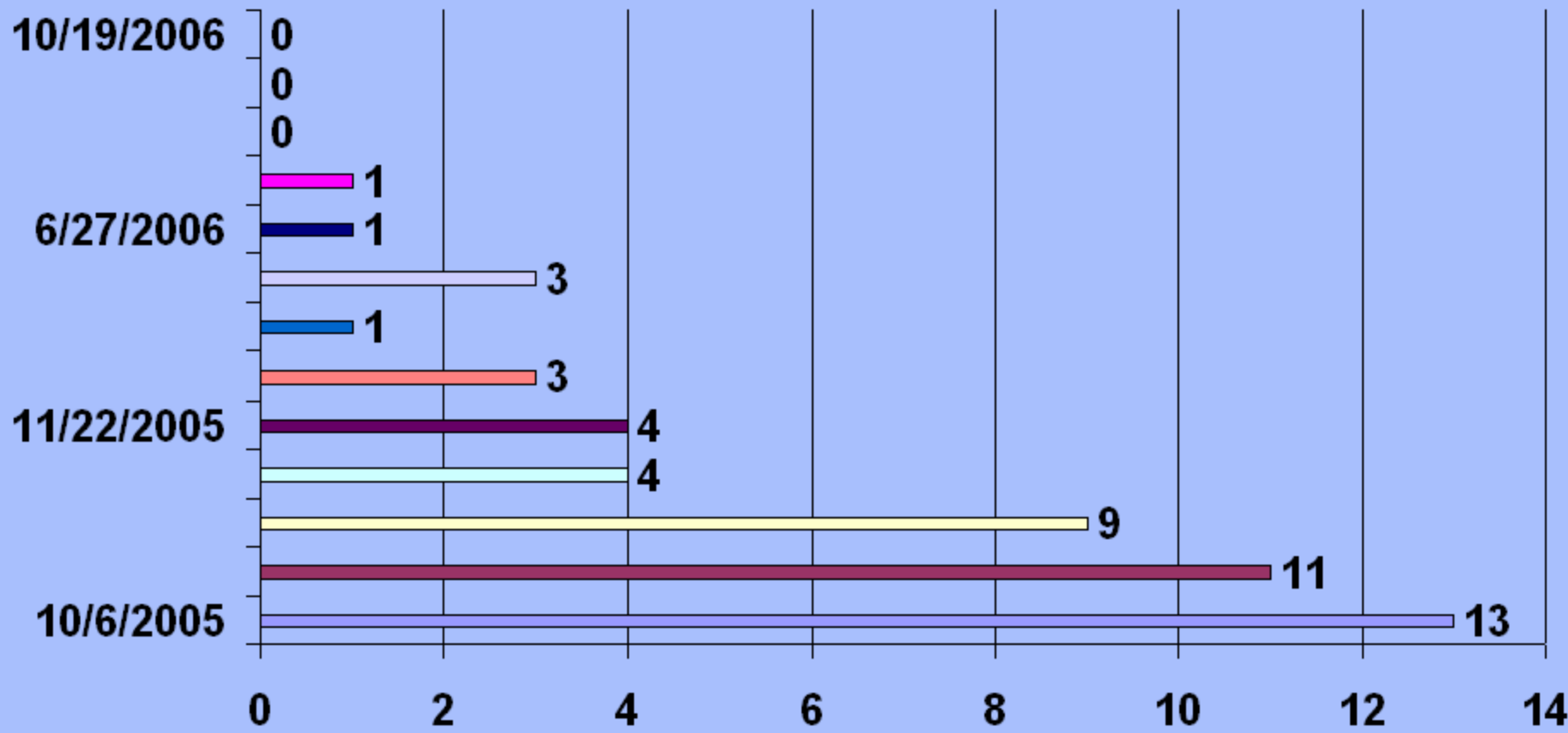
INTERVENTION CASE REVIEW

CABG ID# 65456

First Name

CONFIDENTIAL

Subject Select Contact History Mental Health History Medications Treatment 1 Treatment 2 PHQ Scores PHQ Items 1-6 PHQ Items 7-9



2wk PHQ Score: 14

9/20/2005

[Close and Return to the Main M](#)



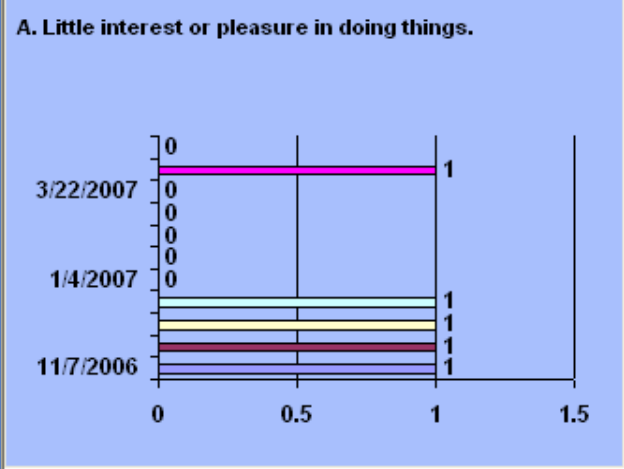
INTERVENTION CASE REVIEW

CABG ID#

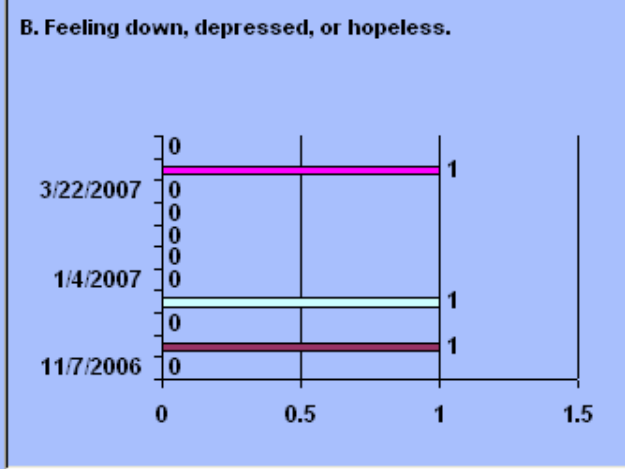
First Name

CONFIDENTIAL

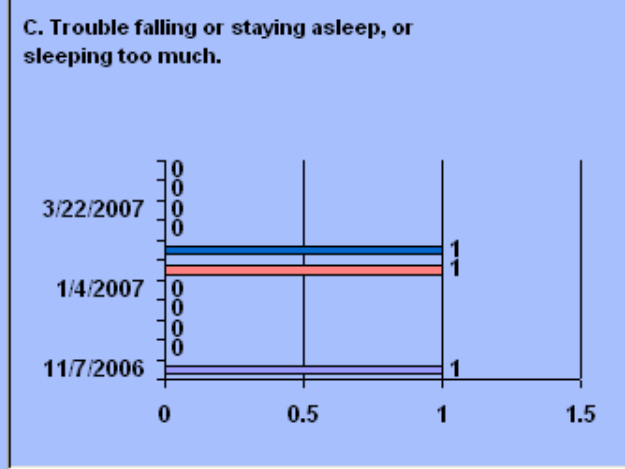
- Subject Select
- Contact History
- Mental Health History
- Medications
- Treatment 1
- Treatment 2
- PHQ Scores
- PHQ Items 1-6**
- PHQ Items 7-9



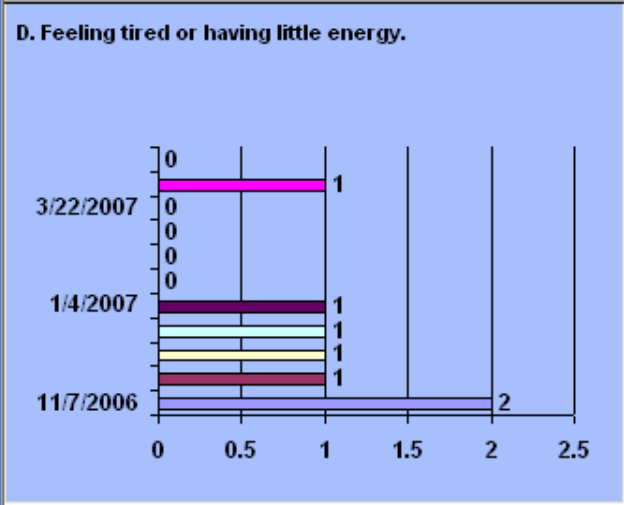
2wk PHQ Score: 0



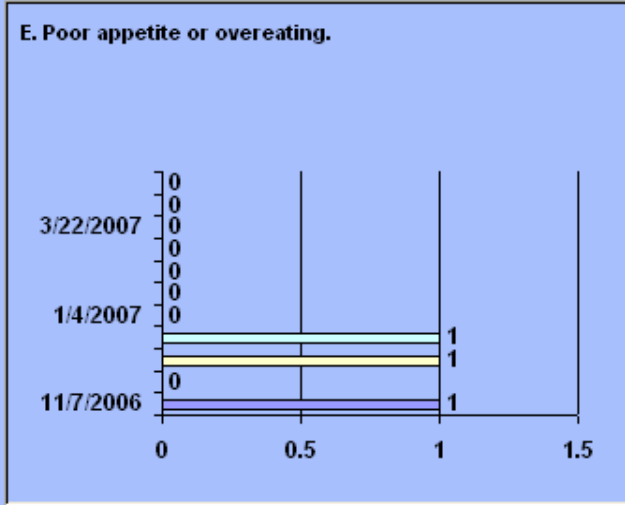
2wk PHQ Score: 1



2wk PHQ Score: 2



2wk PHQ Score: 3



2wk PHQ Score: 1

[Close and Return to the Main Menu](#)



Care Manager Contacts

Median (Range)

Intervention Time	All N=150	Men N=81	Women N=69
3 Months	5 (0-11)	5 (0-11)	5 (0-11)
6 Months	8 (0-17)	8 (0-17)	7 (0-16)
8 Months	10 (0-28)	10 (0-28)	10 (0-23)
3+ Calls at 6 Months	85%	91%	78%*

* P=0.02



Intervention Components

	Men (N=81)	Women (N=69)	P
Workbook			
3-Month	72%	71%	.94
8-Month	91%	78%	.02
Medications			
3-Month	37%	57%	.02
8-Month	43%	59%	.05
MHS			
3-Month	9%	6%	.51
8-Month	19%	16%	.68



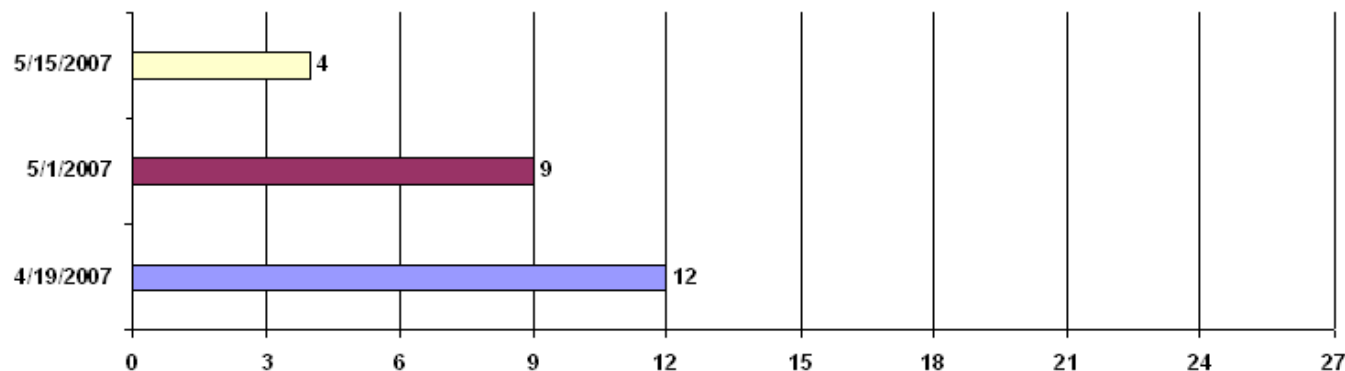
Bypassing the Blues

Physician Update of Patient Status 5/29/2007

Name _____ Recruit Date **3/16/2007** Nurse Care Manager _____

Past Diagnosis of Depression **Yes** Past Treatment of Depression **Yes**

Date	*PHQ Score	Current Antidepressant 1	Dose	Current Antidepressant 2	Dose	Mental Health Referral
5/15/2007	4	<i>Effexor</i>	<i>150mg</i>	<i>N/A</i>	<i>N/A</i>	<i>No</i>
5/1/2007	9	<i>Effexor</i>	<i>150 mg</i>	<i>N/A</i>	<i>N/A</i>	<i>No</i>
4/19/2007	12	<i>Effexor</i>	<i>150 mg</i>	<i>N/A</i>	<i>N/A</i>	<i>No</i>
4/10/2007	<i>N/A</i>	<i>Effexor</i>	<i>150 mg</i>	<i>N/A</i>	<i>N/A</i>	<i>N/A</i>



4/4/2007 Baseline PHQ Score - 14

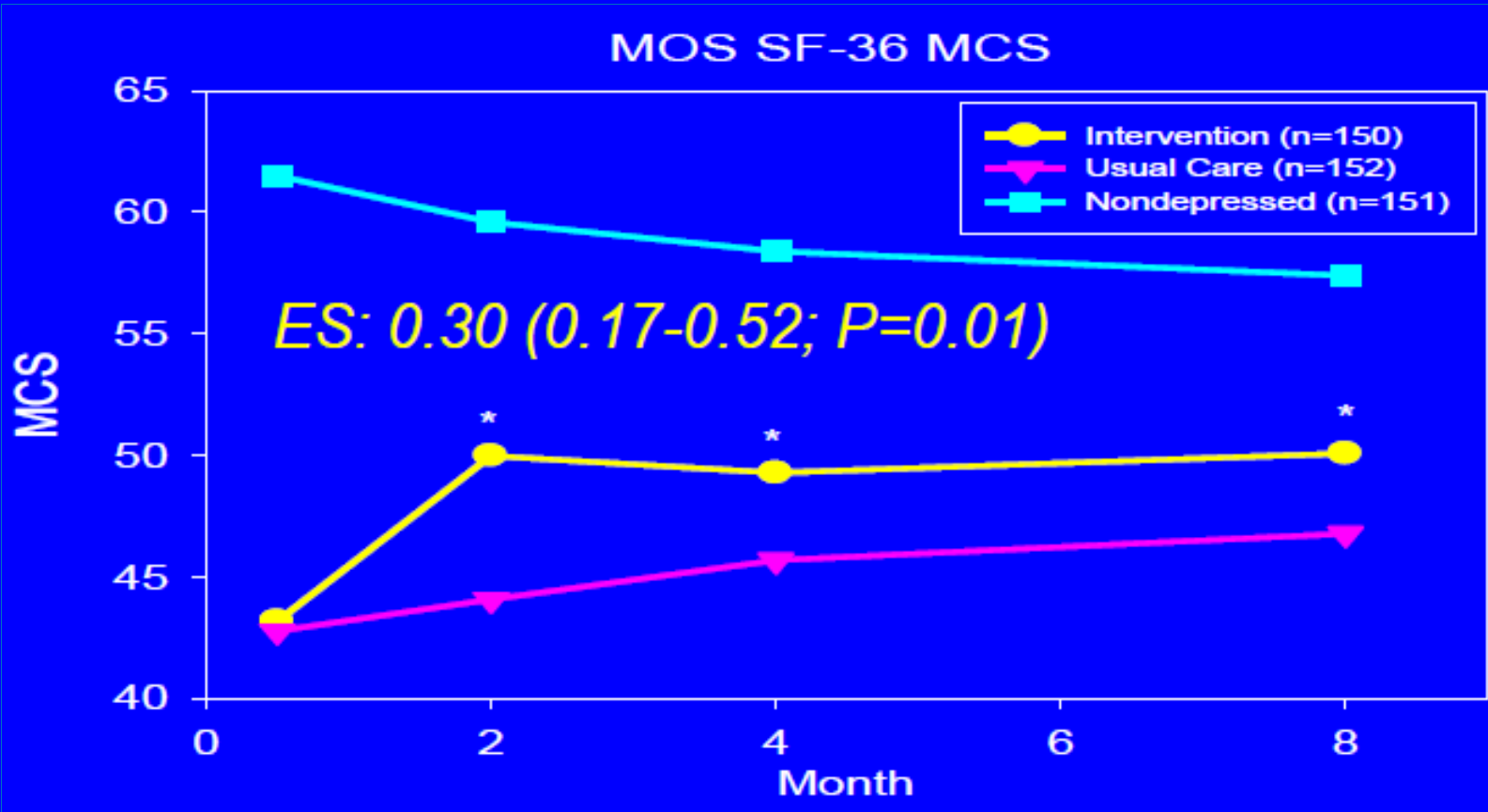
PHQ-9 Interpretation

PHQ-9 Score	Depression Severity
0-4	Minimal
5-9	Mild
10-14	Moderate
15-19	Moderately Severe
20-27	Severe

* Patient Health Questionnaire
 Kroenke K, Spitzer RL, Williams JBW. The PHQ-9 Validity of a Brief Depression Severity Measure. *JGIM*. 2001;16:606-613.



Improves Mental Health Related Quality of Life

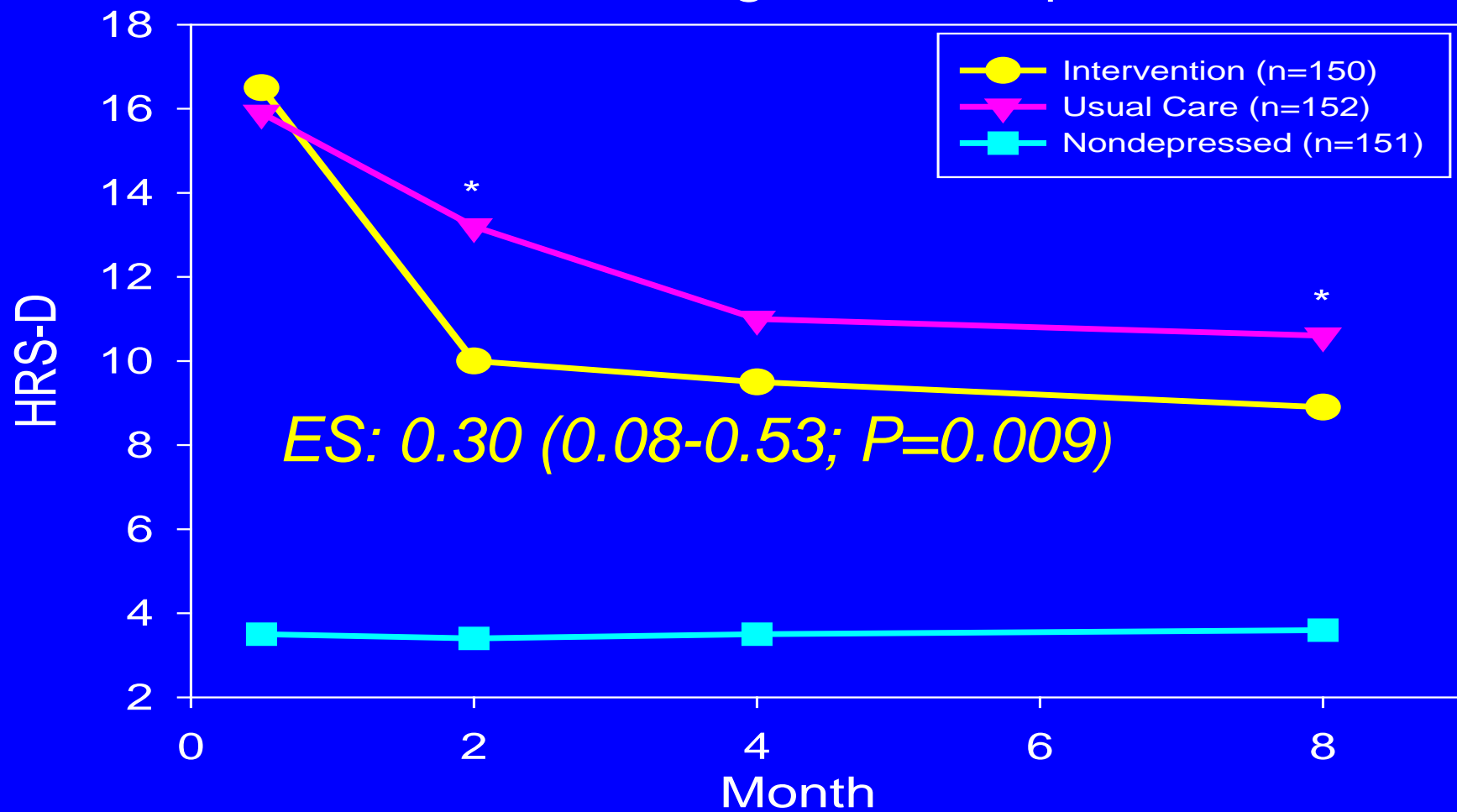


Rollman BL, Herbeck Belnap B, et al. *JAMA*. 2009; 302:2095



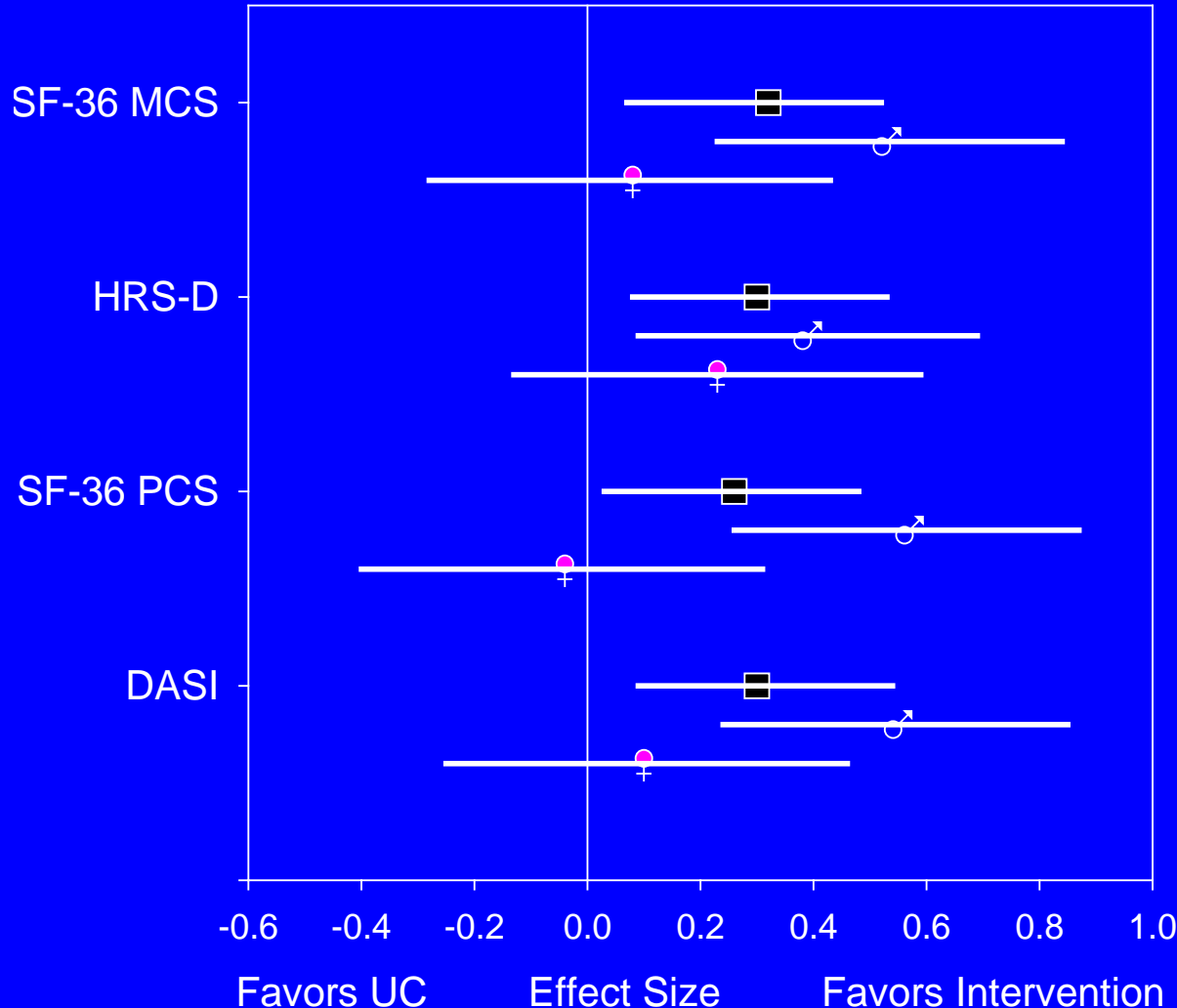
Reduces Mood Symptoms

Hamilton Rating Scale - Depression





Differential Impact by Gender



SF-36 MCS (1' Outcome)

All: 0.30 (0.17-0.52) P=0.01
 Male: 0.53 (0.23-0.84) P<0.001
 Female: 0.08 (-0.28-0.43) P=0.68

HRS-D

All: 0.30 (0.08-0.53) P=0.009
 Male: 0.39 (0.09-0.69) P=0.01
 Female: 0.23 (-0.13-0.59) P=0.20

SF-36 PCS

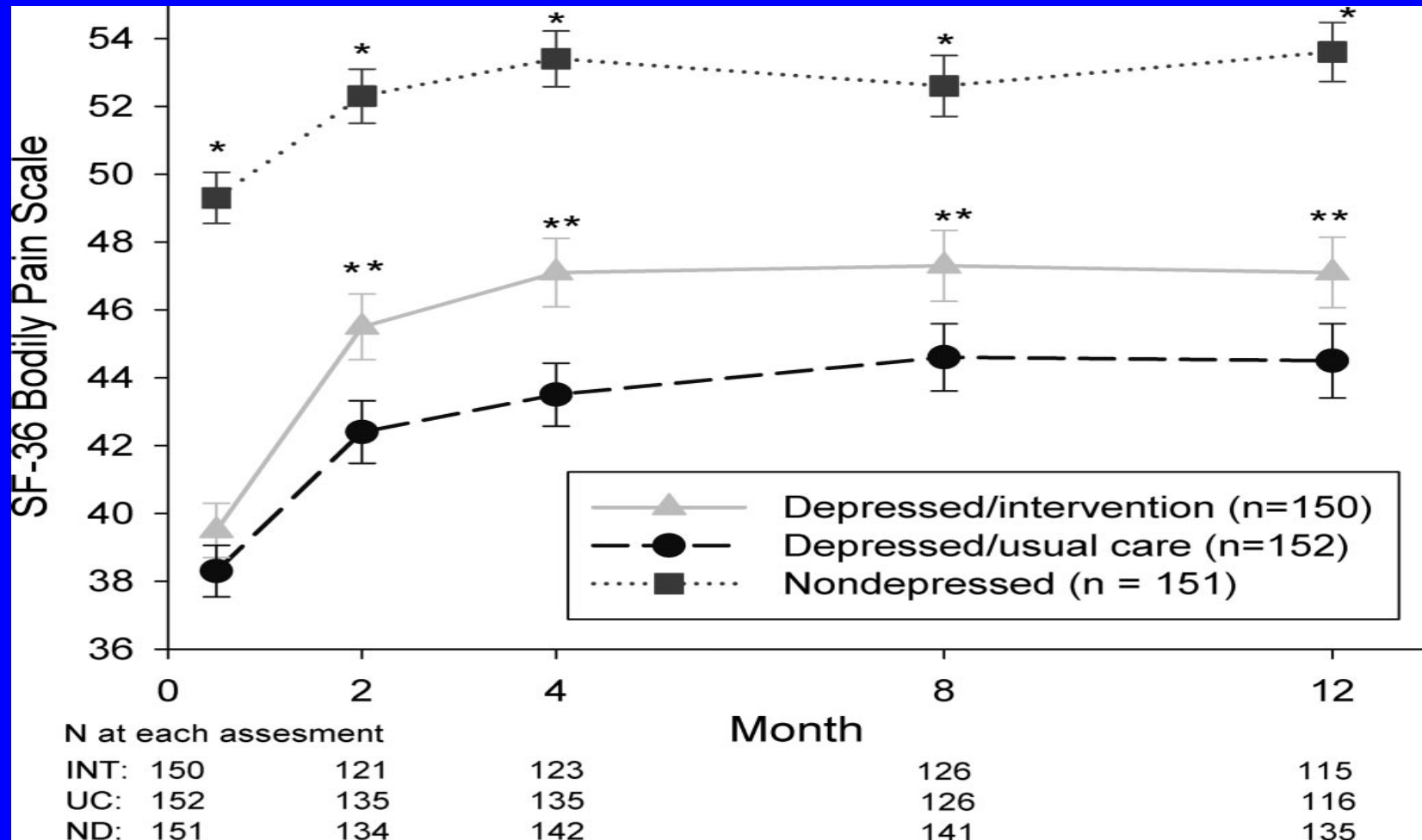
All: 0.26 (0.03-0.48) P=0.03
 Male: 0.57 (0.26-0.87) P<0.001
 Female: -0.04 (-0.40-0.31) P=0.82

DASI

All: 0.32 (0.09-0.54) P=0.006
 Male: 0.55 (0.24-0.85) P<0.001
 Female: 0.10 (-0.25-0.46) P=0.58



Reduces Post-CABG Pain





Impact by Age

	Age <60 N=58	Age ≥ 60 N=92	ES
≥ 50% Decline from baseline HRS-D	43%	57%	0.27 (-0.06-0.60)
HRS-D score ≤ 7 at 8-month	41%	53%	0.24 (-0.09-0.57)



Conclusions

Compared to “usual care” for post-CABG depression, telephone-delivered collaborative care is cost-effective and improves:

- Mental HRQoL
- Physical functioning
- Mood symptoms
- Pain

Highly Cost-Effective

ICER: $-\$9,889$ per QALY vs. Usual Care
(95% CI: $-\$11,940$ to $-\$7,838$)

*Cost-increasing
Quality-reducing
"Dominated"*

*Cost-increasing
Quality-increasing*



*Cost-reducing
Quality-reducing*

**Cost-reducing
Quality-increasing
"Dominant" (68%)**



Developing a Collaborative Care Strategy for Depression and Co-Morbid CHF

BL Rollman, B Herbeck Belnap,
S Mazumdar, PR Houck, F He, RJ Alvarez,
HC Schulberg, CF Reynolds III, DM McNamara

University of Pittsburgh School of Medicine



All work supported by NIMH R34 MH078030



Heart Failure

- 5,700,000 Americans affected
- Annually:
 - 660,000 newly diagnosed cases
 - 1,100,000 hospital discharges
 - 277,000 deaths
 - 1 in 9 death certificates list HF
 - Mortality essentially unchanged since '96

Depression and HF

- Depression and HF often co-morbid
 - Co-occur in ~1/3 of patients
- Depression associated with:
 -  Morbidity and mortality
 -  Quality of life

Lichtman et al. *Circulation* 2008; 118:1768

Freedland, et al. *Heart Fail Clin* 2011; 7:11

Rutledge, et al. *J Am Coll Cardiol* 2006; 48:1527

AHA Science Advisory

Depression and Coronary Heart Disease

Recommendations for Screening, Referral, and Treatment

A Science Advisory From the American Heart Association Prevention Committee of the Council on Cardiovascular Nursing, Council on Clinical Cardiology, Council on Epidemiology and Prevention, and Interdisciplinary Council on Quality of Care and Outcomes Research

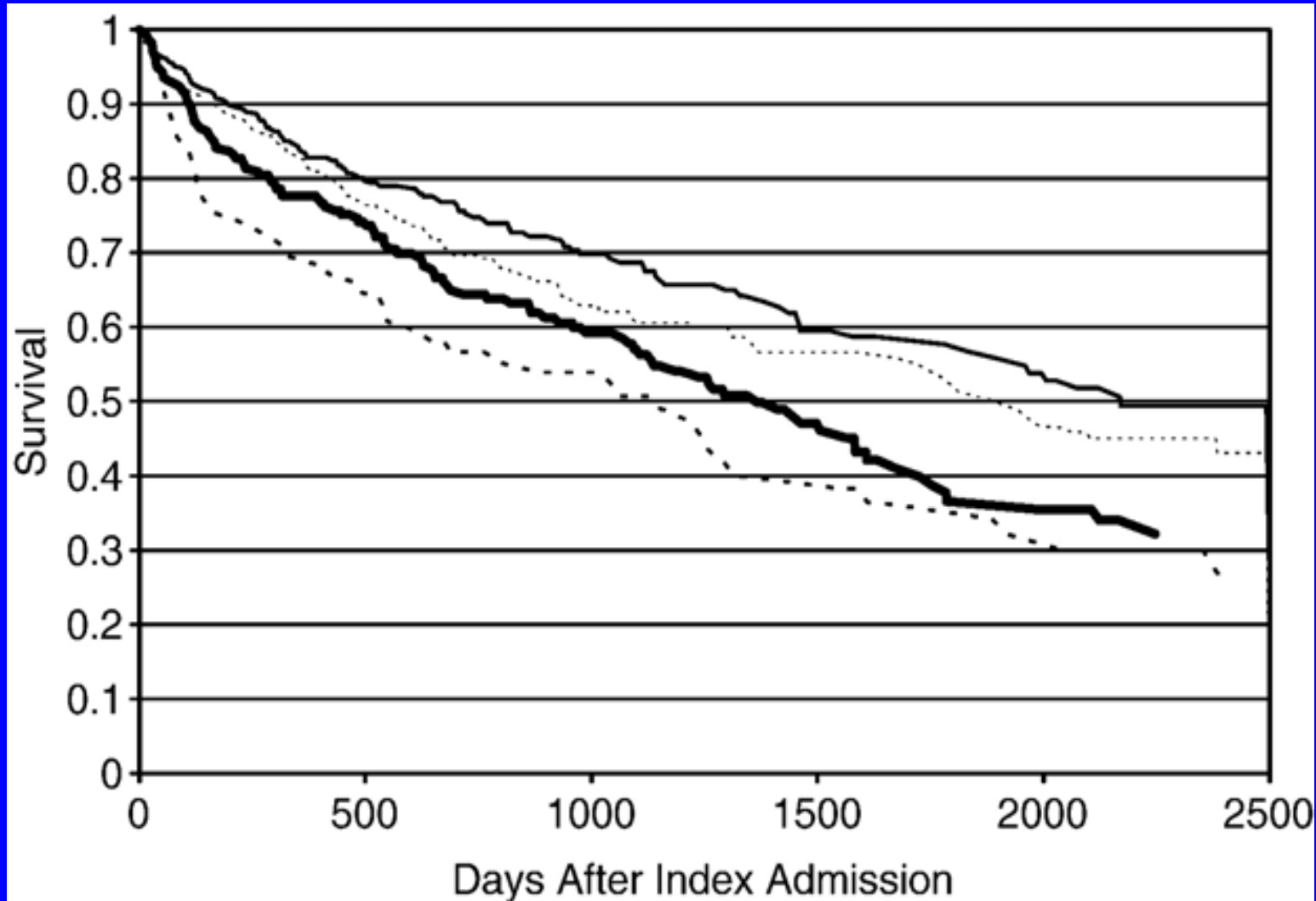
Endorsed by the American Psychiatric Association

Judith H. Lichtman, PhD, MPH, Co-Chair; J. Thomas Bigger, Jr, MD;
James A. Blumenthal, PhD, ABPP; Nancy Frasure-Smith, PhD; Peter G. Kaufmann, PhD;
François Lespérance, MD; Daniel B. Mark, MD, MPH; David S. Sheps, MD, MSPH;
C. Barr Taylor, MD; Erika Sivarajan Froelicher, RN, MA, MPH, PhD, Co-Chair

Abstract—Depression is commonly present in patients with coronary heart disease (CHD) and is independently associated with increased cardiovascular morbidity and mortality. Screening tests for depressive symptoms should be applied to identify patients who may require further assessment and treatment. This multispecialty consensus document reviews the evidence linking depression with CHD and provides recommendations for healthcare providers for the assessment, referral, and treatment of depression. (*Circulation*. 2008;118:1768-1775.)

**& 2011 AHA Practice Guidelines for
Prevention of Cardiovascular Disease in Women**

Impact of Depression on HF



Methods

R34 MH078030

Enrollment

- 4 Pittsburgh-area hospitals
- Ejection fraction <40%
- NYHA classes II-IV
- PHQ-2 administered by study nurses
 - 372 PHQ-2 (+)
 - 100 PHQ-2 (-) convenience sample

12-Month and 4 year Vital Status

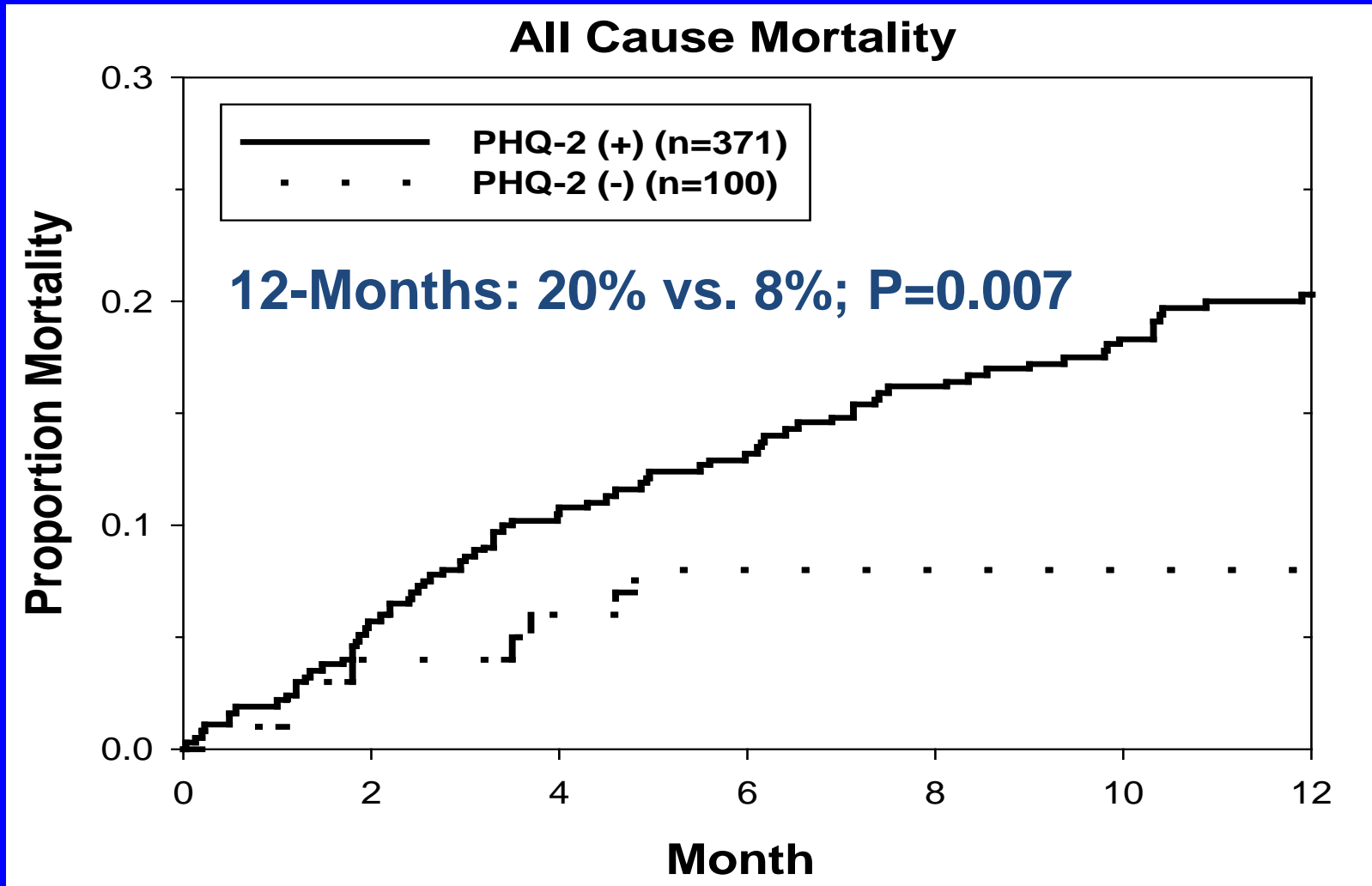
- Telephone (patient, 2' contact, PCP)
- Death classification (med records, interview)

Recruitment

12/07-4/09

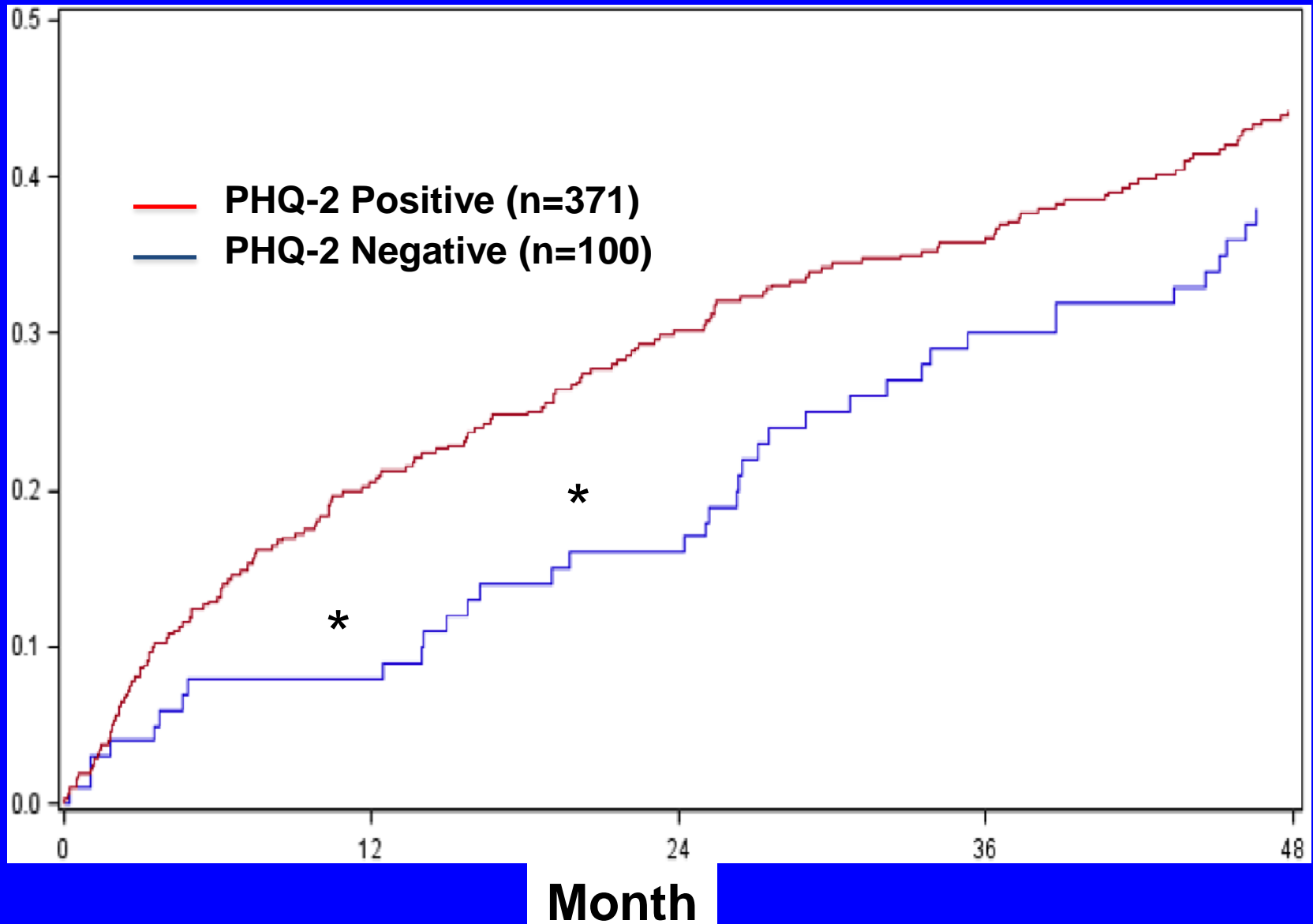
HIPAA Consented	857
Consented & Protocol-Elig.	589 (69%)
NYHA & PHQ-2 Eligible	520 (88%)
Protocol-Eligible (EF<40%)	471 (91%)
PHQ-2 (+)	371 (79%)

PHQ-2 is Predictive of Mortality in Heart Failure Patients



All-Cause Mortality by PHQ-2 Status

Cumulative Mortality Incidence



Cardiovascular Mortality By PHQ-2

Multivariate*

Year	Hazard Ratio (95% CI)	P
1 st Year	2.77 (1.14-6.70)	0.024
2 nd Year	2.22 (1.16-4.26)	0.016
3 rd Year	1.41 (0.85-2.35)	0.18
4 th Year	1.48 (0.91-2.29)	0.12

*Adjusted for Age, Sex, EF, NYHA Functional Class, Diabetes, COPD, Renal Insufficiency, Anxiety, Systolic and Diastolic BP, Hemoglobin (Anemia), Sodium (Hyponatremia), ACE/ARB, Beta Blocker, Coumadin

Integrated Biopsychosocial Care for Multi-Conditions

*Collaborative Depression Care
+
Chronic Care Model
+
Treat-to-Target Approach*



***One Approach Across Different Chronic Illnesses+
TEAMcare***

Blended Collaborative Care for Heart Failure and Co-Morbid Depression

BL Rollman, MD, MPH

B Herbeck Belnap, Dr Biol Hum

M Muldoon, MD

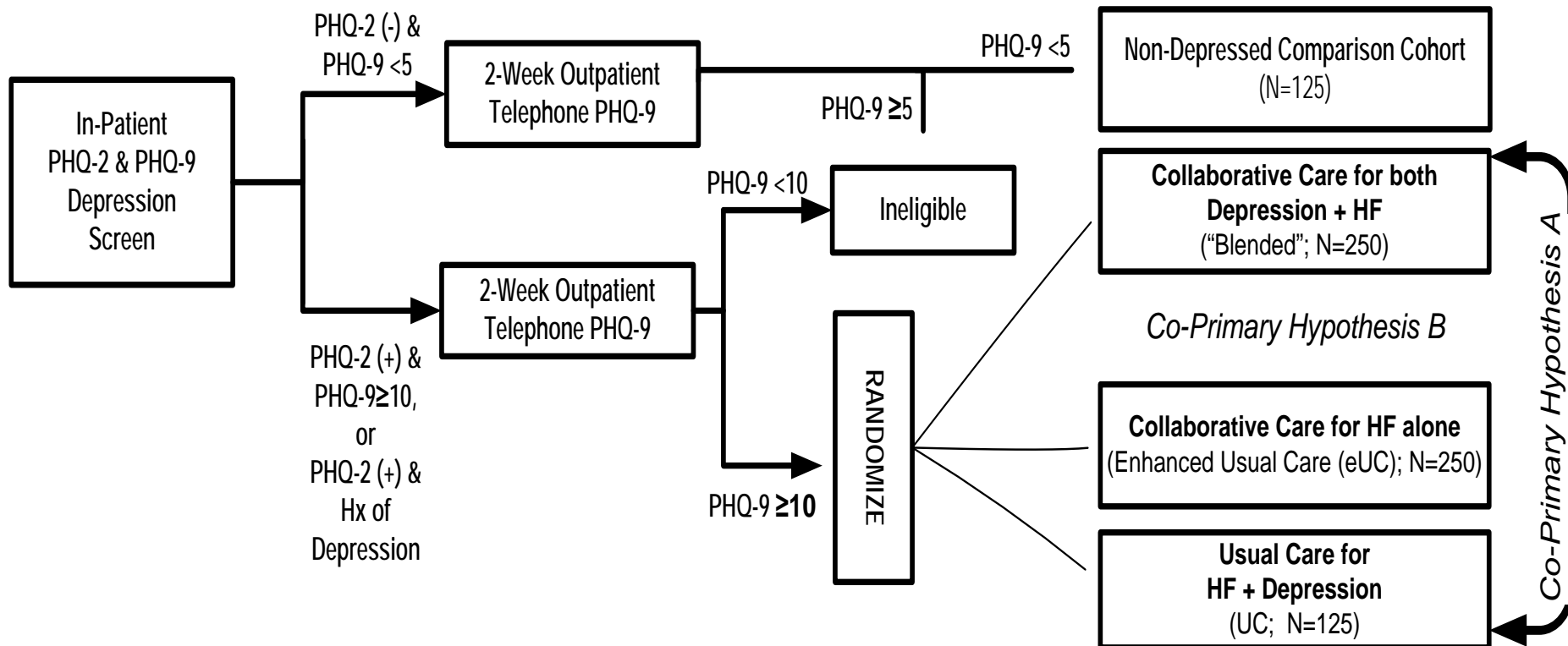
R Alvarez, MD

K Abebe, PhD

K Smith, MD

CF Reynolds, MD

Study Design



Offene Fragen

- Diagnostik
 - Zeitpunkt
 - Somatische vs. Kognitive Symptome
- Behandlungsmöglichkeiten und –zeitpunkt
- Effektivität für KHK outcome
 - Nachhaltigkeit
- Behandlungsplan
 - Patientenpräferenz
 - Effektivität für Frauen/Minoritäten
 - Effektivität für Krankheitsschwere

USA vs. Deutschland

- Patient-Centered Home
- Datenvernetzung
- Prävention
- Gesundheitsbewusstsein

